#### NEWS

# OF THE NATIONAL ACADEMY OF SCIENCES OF THE REPUBLIC OF KAZAKHSTAN PHYSICO-MATHEMATICAL SERIES

ISSN 1991-346X

Volume 1, Number 317 (2018), 14 – 17

## B.S. Kalmurzayev<sup>1</sup>, N.A. Bazhenov<sup>2</sup>

<sup>1</sup>Al-Farabi Kazakh National University, Almaty, Kazakhstan; <sup>2</sup>Sobolev Institute of Mathematics, Novosibirsk, Russia. birzhan.kalmurzayev@gmail.com, bazhenov@math.nsc.ru

# EMBEDDABILITY OF m-DEGREES INTO EQUIVALENCE RELATIONS IN THE ERSHOV HIERARCHY

**Abstract.** The paper is devoted to the study of equivalence relations in the hierarchy of Ershov. An equivalence relation R on  $\omega$  is computably reducible to an equivalence relation S if there exists a computable function f(x) such that for any x and y, the conditions xRy and f(x)Sf(y) are equivalent. In this paper we construct isomorphic embeddings of semilattices of m-degrees into partial orders of equivalence relations in the hierarchy of Ershov with respect to computable reducibility.

**Key words.** Equivalence relations, computable reducibility, hierarchy of Ershov, computably enumerable sets, semilattice of computably enumerable *m*-degrees.

A set A is m-reducible to a set B (denoted by  $A \leq_m B$ ) if there is a computable function f such that for any  $x \in \omega$  the conditions  $x \in A$  and  $f(x) \in B$  are equivalent. Such a function f is called a reduction function. A set A is 1-reducible to a set B (denoted by  $A \leq_1 B$ ) if  $A \leq_m B$  and the corresponding reduction function is injective. A formula  $A \equiv_m B$  means that  $A \leq_m B$  and  $B \leq_m A$ . The m-degree of a set A is denoted by d(A), i.e.  $d(A) = \{B : A \equiv_m B\}$ . We use the same notation  $\leq_m$  to denote a natural ordering on the set of m-degrees:

$$d(A) \leq_m d(B) \leftrightharpoons A \leq_m B$$
.

A partial order  $L_m^0 = (\{d(X): X \text{ is a recursively enumerable set and } X \neq \emptyset, \omega\}, \leq_m)$  is an upper semilattice and an ideal in the upper semilattice of all m-degrees. The supremum operation in  $L_m^0$  is induced by the join of sets:

$$A \oplus B \leftrightharpoons \{2x : x \in A\} \cup \{2x + 1 : x \in B\}.$$

It is well-known that  $L_m^0$  contains the greatest and the least elements. For further definitions and preliminaries on m-reducibility, we refer the reader to the monographs [1, 2].

**Definition** ([6]). A set A belongs to the class  $\Sigma_n^{-1}$  in the hierarchy of Ershov if there are computable functions f(x,t) and h(x,t) such that for any  $x,t \in \omega$ , the following conditions hold:

- (1)  $A(x) = \lim_{s} f(x, s)$  and f(x, 0) = 0;
- $(2) h(x,0) = n \& h(x,t+1) \le h(x,t);$
- (3)  $f(x, t + 1) \neq f(x, t) \Rightarrow h(x, t + 1) < h(x, t)$ .

If a pair of functions  $\langle f, h \rangle$  satisfies the conditions above, then we say that  $\langle f, h \rangle$  is a  $\Sigma_n^{-1}$ -approximation of the set A. A set A lies in the class  $\Pi_n^{-1}$  in the hierarchy of Ershov if the complement of A belongs to the class  $\Sigma_n^{-1}$ . A set from the class  $\Sigma_n^{-1}$  ( $\Pi_n^{-1}$ ) is also called a  $\Sigma_n^{-1}$ -set ( $\Pi_n^{-1}$ -set).  $\Sigma_1^{-1}$ -sets are known as computably enumerable sets. A detailed exposition of results on these sets can be found in [3, 4, 5, 6].

The classes  $\Sigma_n^{-1}$  and  $\Pi_n^{-1}$  are closed downwards under *m*-reducibility. It is known [3] that each of the classes contains a universal set. Moreover, the partial orders  $(\Sigma_n^{-1}, \leq_m)$  and  $(\Pi_n^{-1}, \leq_m)$  are upper semilattices.

**Proposition 1.** A set A belongs to  $\Sigma_n^{-1}$  if and only if there is a computable function h(x,t) such that for any  $x, t \in \omega$ , the following holds:

- $(1) A(x) = rest(\lim_{s} h(x,s), 2);$
- $(2) h(x,0) = 0 \& h(x,t) \le h(x,t+1) \& h(x,t) \le n.$

**Proposition 2.** A set A belongs to  $\Pi_n^{-1}$  if and only if there is a computable function h(x, t) such that for any  $x, t \in \omega$ , the following holds:

- $(1) A(x) = \overline{sg}(rest(\lim_{s} h(x,s), 2));$
- $(2) h(x,0) = 0 \& h(x,t) \le h(x,t+1) \& h(x,t) \le n.$

We assume that all considered sets and equivalence relations are defined on the domain  $\omega$ . For a non-zero  $n \in \omega$ ,  $\mathrm{Id}_n$  is a computable equivalence relation which satisfies the following:  $x\mathrm{Id}_n y$  if and only if x and y are equivalent modulo n. By Id we denote the identity equivalence relation. For an equivalence relation E and E are E denotes the E-equivalence class of the element E.

**Definition.** An equivalence relation E on  $\omega$  is called  $a\Sigma_n^{-1}$  equivalence relation (a  $\Pi_n^{-1}$  equivalence relation) if the set E is a  $\Sigma_n^{-1}$ -set ( $\Pi_n^{-1}$ -set).

An equivalence relation R is computably reducible to an equivalence relation Q (denoted by  $R \le_c Q$ ) if there is a computable function f such that for any  $x, y \in \omega$ , the condition  $(x, y) \in R$  holds if and only if  $(f(x), f(y)) \in Q$ ; i.e. there is an algorithm which transforms different R-equivalence classes into different R-equivalence classes. Equivalence relations R and R and equivalent if each of them is reducible to the other one. The family of all equivalence relations which are equivalent to R is called the degree of an equivalence relation R.

It is clear that an equivalence relation E satisfies  $E <_c Id$  if and only if  $E \equiv_c Id_n$  for some  $n \in \omega$ .

**Definition (A. Sorbi and U. Andrews).** An equivalence relation E is dark if E is incomparable with the identity equivalence relation under the reducibility  $\leq_c$ .

For an arbitrary c.e. set A, let  $R_A = \{(x, y) : x = y \lor \{x, y\} \subseteq A\}$ .

**Proposition** ([11]). Let A, B be non-empty c.e. sets.

- 1)  $R_A$  is computable if and only if A is computable.
- 2)  $A \leq_1 B$  implies that  $R_A \leq_c R_B$ .
- 3) If  $R_A \leq_c R_B$ , then  $A \leq_m B$ .

The proposition implies that c.e. 1-degrees are isomorphically embeddable into the structure of c.e. equivalence relations. It is well-known that c.e. 1-degrees do not form a semilattice. Hence, the structure of equivalence relations under computable reducibility is also not a semilattice.

In this work we study embeddings of semilattices of *m*-degrees into structures of equivalences in the hierarchy of Ershov. Results on embeddings of c.e. *m*-degrees into Rogers semilattices can be found in [7, 8, 9, 10]. For an embedding of c.e. 1-degrees into structures of equivalence relations, the reader is referred to [11, 12].

Embedding of semilattices of m-degrees into structures of equivalence relations in the hierarchy of Ershov.

**Theorem 1.** For any n > 0, the semilattice  $(\Sigma_n^{-1}, \leq_m)$  is isomorphically embeddable into the structure  $(\Pi_{2n}^{-1}$  equivalence relations,  $\leq_c)$ .

**Proof.** We consider the following operator: for an arbitrary set X, we set

$$T(X) = \big\{ (x,y) \colon \{x,y\} \subseteq X \vee \{x,y\} \subseteq \overline{X} \big\}.$$

It is clear that for any set X, the set T(X) is an equivalence relation. We prove that the map  $X \to T(X)$  induces an isomorphic embedding from the upper semilattice  $(\Sigma_n^{-1}, \leq_m)$  into the structure  $(\Pi_{2n}^{-1})$  equivalence relations,  $\leq_c$ . We also show that our estimate of the level in the hierarchy of Ershov is sharp. In order to obtain this, we prove the following lemmas.

**Lemma 1.** If  $X \in \Sigma_n^{-1}$ , then  $T(X) \in \Pi_{2n}^{-1}$ .

Proof of Lemma 1. Suppose that a pair of functions  $\langle f_X, h_X \rangle$  is a  $\Sigma_n^{-1}$ -approximation of the set X. We build an approximation of the set T(X): for any  $x, y \in \omega$ , set

$$f((x,y),t) = |f_X(x,t) + f_X(y,t) - 1|;$$
  
 $h((x,y),t) = h_X(x,t) + h_X(y,t).$ 

We prove that the pair  $\langle f, h \rangle$  is a  $\Pi_{2n}^{-1}$ -approximation of the set T(X).

1)  $f((x,y),0) = |f_X(x,0) + f_X(y,0) - 1| = 1$ ; and

$$\lim_{s} f((x, y), s) = |\lim_{s} f_X(x, s) + \lim_{s} f_X(y, s) - 1| = |X(x) + X(y) - 1|.$$

The latter equation implies the following: T(X)(x,y) = 1 if and only if X(x) = X(y). Therefore, T(X)(x,y) = 1 if and only if  $\lim_{S} f(x,y) = 1$ .

- 2)  $h((x,y), 0) = h_X(x, 0) + h_X(y, 0) = n + n = 2n$ ; and  $h((x,y), t+1) = h_X(x, t+1) + h_X(y, t+1) \le h_X(x, t) + h_X(y, t) = h(x, y)$ .
- 3) Suppose that  $f((x,y), t+1) \neq f((x,y), t)$ . Thus, either  $f_X(x,t+1) \neq f_X(x,t)$ , or  $f_X(y,t+1) \neq f(y,t)$ . Hence, either  $h_X(x,t+1) < h_X(x,t)$ , or  $h_X(y,t+1) < h_X(y,t)$ . In turn, this means that  $h((x,y),t+1) = h_X(x,t+1) + h_X(y,t+1) < h_X(x,t) + h_X(y,t) = h((x,y),t)$ .

Therefore, the pair of functions  $\langle f, h \rangle$  is a  $\Pi_{2n}^{-1}$ -approximation of the set T(X). Lemma 1 is proved.

**Lemma 2.** If  $F \leq_c T(X)$  for a  $\Sigma_n^{-1}$ -set X, then  $F \equiv_c T(Y)$  for some  $\Sigma_n^{-1}$ -set Y.

Proof of Lemma 2. Suppose that for an arbitrary equivalence relation F, we have  $F \leq_c T(X)$  via a function f. Then the equivalence relation T(X) contains at most two equivalence classes. Hence, the equivalence relation F also contains at most two classes. Therefore, if  $Y = f^{-1}(X)$ , then F = T(Y). Lemma 2 is proved.

**Lemma 3.**  $X \leq_m Y$  if and only if  $T(X) \leq_c T(Y)$ .

Proof of Lemma 3. Both reductions can be realized by the same function. Lemma 3 is proved.

**Lemma 4.** For any  $\Pi_{2n}^{-1}$ -set A, there is a  $\Sigma_n^{-1}$ -set B such that  $A \leq_m T(B)$ .

Proof of Lemma 4. Suppose that a pair of functions  $\langle f_A, h_A \rangle$  is a  $\Pi_{2n}^{-1}$ -approximation of a set A. Moreover, let  $h_A$  be the function from Proposition 2. We build a $\Sigma_n^{-1}$  approximation of a set B as follows:

$$f_{B}(2x,t) = \begin{cases} 1, rest(h_{A}(x,t), 4) = 2; \\ 0, otherwise. \end{cases}$$

$$f_{B}(2x+1,t) = \begin{cases} 0, rest(h_{A}(x,t), 4) = 0; \\ 1, otherwise. \end{cases}$$

$$\begin{cases}
h_B(x,0) = n; \\
h_B(x,t+1) = h_B(x,t) - |f_B(x,t+1) - f_B(x,t)|.
\end{cases}$$

It is not difficult to see that a pair of functions  $\langle f_B, h_B \rangle$  is a  $\Sigma_n^{-1}$ -approximation of the set B. Furthermore, it is not hard to check that the reduction  $A \leq_m T(B)$  can be realized by the function f(x) = (2x, 2x + 1). Lemma 4 is proved.

**Corollary 1.** If X is an m-complete  $\Sigma_n^{-1}$ -set, then T(X) is an m-complete  $\Pi_{2n}^{-1}$ -set.

**Proof.** Let X be an m-complete  $\Sigma_n^{-1}$ -set. We prove that any  $\Pi_{2n}^{-1}$ -set Asatisfies  $A \leq_m T(X)$ . The proof of the theorem implies that there is a  $\Sigma_n^{-1}$ -set Y such that  $A \leq_m T(Y)$ . It is clear that  $T(Y) \leq_c T(X)$ . Suppose that that the reduction  $T(Y) \leq_c T(X)$  is realized by a function f; then the reduction  $T(Y) \leq_m T(X)$  is realized by the function

$$h((x,y)) = (f(x), f(y)).$$

Since *m*-reducibility is transitive, we have  $A \leq_m T(X)$ .

**Corollary 2.** For any non-computable set X, the equivalence relation T(X) is dark.

**Corollary 3.** The semilattice of computably enumerable m-degrees is isomorphically embeddable into the structure  $(\Pi_2^{-1}$  equivalence relations,  $\leq_c$ ).

Corollaries 2 and 3 areevident.

**Question.** Is it possible to isomorphically embed the semilattice of c.e. *m*-degrees into the structure of c.e. equivalence relations?

The work of N.A. Bazhenov was supported by the Russian Foundation for Basic Research, project no. 16-31-60058 mol a dk.

The work of B.S. Kalmurzayev was supported by Grant 3952/GF4 "Equivalence relations, preodered structures, and algorithmic reducibilities on them, as a mathematical model of databases" of the Science Committee of the Republic of Kazakhstan.

#### REFERENCES

- [1] Rogers H., Theory of recursive functions and effective computability. McGraw-Hill, NewYork, 1967.
- [2] Mal'cev A.I., Algorithms and recursive functions, Groningen, Wolters-Noordhoff Publishing, 1970.
- [3] ErshovYu.L., A hierarchy of sets. I, Algebra and Logic, vol.7, no.1, 1968, pp.25-43.
- [4] Ershov Yu.L., On a hierarchy of sets. II, Algebra and Logic, vol.7, no.4, 1968, pp.212-232.
- [5] Ershov Yu.L., On a hierarchy of sets. III, Algebra and Logic, vol.9, no.1, 1970, pp.20-31.
- [6] Arslanov M.M. The hierarchy of Ershov. Kazan State University, Kazan, 2007. In Russian.
- [7] Badaev S.A., TalasbaevaZh.T., Computable numberings in the hierarchy of Ershov, in: S.S. Goncharov (ed.) et al., Mathematical logic in Asia. Proc. 9<sup>th</sup> Asian logic conf. (Novosibirsk, Russia, August 16-19, **2005**), NJ, World Scientific, 2006, 17-30.
- [8] Badaev S.A., Manat M., Sorbi A., Rogers semilattices of families of two embedded sets in the Ersov hierarchy, Mathematical logic quarterly. Vol. 58, No 4-5, 2012, 366-376.
- [9] Kalmurzaev B.S., Embeddability of the semilattice  $L_m^0$  in Rogers semilattices, Algebra and Logic, vol.55, no.3, **2016**, pp.217-225.
  - [10] ErshovYu.L., Theory of numberings, Moscow, Nauka, 1977. In Russian.
  - [11] Su Gao, Peter Gerdes, Computably enumerable equivalence relations, StudiaLogica, 67, 2001, 27-59.
- [12] Andrews U., Lempp S., Miller J.S., Ng K.M., San Mauro L., Sorbi A., Universal computably enumerable equivalence relations, Journal of Symbolic Logic, vol. 79, no. 1, **2014**, 60-88.

УДК 510.54

#### Б.С. Калмурзаев<sup>1</sup>, Н.А. Баженов<sup>2</sup>

 $^{1}$ Казахский национальный университет им. аль-Фараби, Алматы, Казахстан;  $^{2}$ Институт математики им. С.Л. Соболева СО РАН, Новосибирск, Россия.

### О ВЛОЖИМОСТИ - СТЕПЕНЕЙ В ОТНОШЕНИЯ ЭКВИВАЛЕНТНОСТИВ ИЕРАРХИИ ЕРШОВА

**Аннотация.** Работа посвящена исследованию отношений эквивалентности в иерархии Ершова. Отношение эквивалентности R на  $\omega$  вычислимо сводится  $\kappa$  отношению эквивалентности S, если существует вычислимая функция f(x), такая что, для любых x и y условия x и y условия

**Ключевые слова.** Отношения эквивалентности, вычислимая сводимость, иерархия Ершова, вычислимо перечислимые множества, полурешетка вычислимо перечислимых *m*-степеней.

## Б.С. Калмурзаев<sup>1</sup>, Н.А. Баженов<sup>2</sup>

<sup>1</sup>аль-Фараби атындағы Қазақ ұлттық университеті, Алматы, Казахстан; <sup>2</sup>РҒА СБ С.Л. Соболев автындағы математика институты, Новосибирск, Ресей.

# ЕРШОВ ИЕРАРХИЯСЫНДА m-ДЕҢГЕЙЛЕРДІҢ ЭКВИВАЛЕНТТІК ҚАТЫНАСТАРҒА ЕНГІЗУЛЕРІ ТУРАЛЫ

Аннотация. Бұл мақала Ершов иерархиясындағы эквиваленттік қатынастарды зерттеуге бағышталған.  $\omega$  жиынындаанықталғанR эквиваленттік қатынасы S эквиваленттік қатынасына есептелімді көшіріледі деп атаймыз, егер кез келген x және y элементтері үшін xRy және f(x)Sf(y)шарттарыэквивалент болатындай f(x)есептелімді функциясы табылатын болса. Бұл мақалада Ершов иерархиясындағы m-деңгейлерді есептелімді көшірулерге байланысты эквиваленттік қатынастардың жартлай ретіне изоморфты енгізулері құрылады.

**Тірек сөздер.** Эквивалеттік қатынастар, есептелімді көшірулер, Ершов иерархиясы, рекурсив саналымды жиындар, рекурсив саналымды m-деңгейлердің жатрыторы.